

TAX RESIDENCY

Please tick one of the following:

- I. I am a tax resident of Malaysia and I have **no** other tax residency(ies) with other country(ies).
- II. I am a tax resident of Malaysia and I have other tax residency(ies) with other country(ies).
- III. I am not a tax resident of Malaysia.

If you have selected **II and III above**, please complete the following table:-

For the purposes of taxation, I am a tax resident in the following countries and my Tax Identification Number ("TIN") or an equivalent in each country is set out below or I have indicated that a TIN or its equivalent is unavailable:

Country of tax residence	TIN	If no TIN is available, please tick <input checked="" type="checkbox"/> one the appropriate reason	If Reason B is selected, explain why TIN is not available
		<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	
		<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	
		<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	

Reason for TIN unavailability:

Reason A - The country / jurisdiction where the Applicant is resident does not issue TINs to its residents.

Reason B - The Applicant is otherwise unable to obtain a TIN or equivalent number. *(Please explain why you are unable to obtain a TIN if you have selected this reason)*

Reason C - No TIN required. *(Only select this reason if the domestic law of the relevant jurisdiction does not require collection of the TIN issued by such jurisdiction)*

If the Applicant is tax resident in more than three countries / jurisdictions, please use a separate sheet.

In the case where the Applicant is a tax resident of another country other than Malaysia, please provide one of the following documents:

- (i) Copy of Passport; government issued identification
 (ii) Proof of residential address

DECLARATION AND SIGNATURE OF FIRST APPLICANT

Under the penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete.

I acknowledge that AIIIMAN may be obliged to comply with or choose to have regard to, observe or fulfil the laws, regulations, orders, guidelines, codes, market standards, good practices, requests, requirements, or expectations of or agreements with public, judicial, taxation, governmental, and other regulatory authorities or self-regulatory bodies in various countries / jurisdictions which include FATCA and CRS, to disclose the information to the tax authorities of the country / jurisdiction in which the account(s) is / are maintained and exchanged with tax authorities of another country / jurisdiction or countries / jurisdictions in which the person may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am the beneficial owner (or am authorised to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual who is the owner of an account held with AIIIMAN.

I hereby irrevocably and unconditionally warrant and represent to AIIIMAN that, AIIIMAN shall have the right to provide personal data and information provided to AIIIMAN by me and / or acquired by AIIIMAN from the public domain, as well as personal data that arises as a result of the provision of services to me, to any governmental authorities, regulatory bodies, and / or any other relevant person(s) in respect of the FATCA and CRS Reporting Requirements.

I hereby acknowledge and agree that such disclosures may involve the transfer of personal data outside of Malaysia and that such disclosures may include but is not limited to any information relating to, arising from, and / or in connection with the provision of the services which I have requested, and my investments with AIIIMAN.

I undertake to advise AIIIMAN within 30 days of any change in circumstances that causes the information contained herein to become incorrect or incomplete and to provide AIIIMAN with a suitably updated Self-Certification form within 30 days of such change in circumstances.

Signature of First Applicant

Name:

Date:

IMPORTANT:PLEASE COMPLETE IN CAPITAL LETTERS AND BLACK INK ONLY, AND TICK WHERE APPLICABLE. ANY ALTERATIONS MADE MUST BE COUNTERSIGNED.**DETAILS OF JOINT APPLICANT**

Name (as per NRIC / Passport / Others)

NRIC No.

Passport No. / Other

Country of Birth

Town / City of Birth

FATCA INFORMATIONPlease tick one of the following:

- I am a U.S. Person
- I am a non-U.S. Person (I am not a U.S. citizen nor a U.S. resident for the purposes of U.S. federal income tax and I am not acting for, or on behalf of, a U.S. tax person; I was not born in the U.S.; I do not have a U.S. passport; I do not have a current U.S. resident or mailing address or a U.S. contact number; or a "care-of" mailing address in my account opening form).
- I am a non-U.S. Person but one or more of the below is / are applicable to me:
- I was born in the U.S.;
- I have a U.S. passport;
- I have a current U.S. resident or mailing address or a U.S. contact number, or, I did not provide you with any permanent mailing address;
- I have requested you for the processing of:
- (a) any standing instruction for payments to be made to any accounts maintained in the U.S.,
- (b) any power of attorney to be granted to any individual with a U.S. mailing address and / or,
- I have provided you with an "in care of" or a "care-of" mailing address in my account opening form.

If you are a citizen or resident of the U.S. for tax purposes under U.S. IRS regulations, please submit a completed, signed, and dated **IRS Form W-9**.**TAX RESIDENCY**Please tick one of the following:

- I. I am a tax resident of Malaysia and I have **no** other tax residency(ies) with other country(ies).
- II. I am a tax resident of Malaysia and I have other tax residency(ies) with other country(ies).
- III. I am not a tax resident of Malaysia.

If you have selected **II and III above**, please complete the following table:-

For the purposes of taxation, I am a tax resident in the following countries and my Tax Identification Number ("TIN") or an equivalent in each country is set out below or I have indicated that a TIN or its equivalent is unavailable:

Country of tax residence	TIN	If no TIN is available, please tick <input checked="" type="checkbox"/> one the appropriate reason	If Reason B is selected, explain why TIN is not available
		<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	
		<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	
		<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	

Reason for TIN unavailability:

Reason A - The country / jurisdiction where the Applicant is resident does not issue TINs to its residents.

Reason B - The Applicant is otherwise unable to obtain a TIN or equivalent number. (Please explain why you are unable to obtain a TIN if you have selected this reason)

Reason C - No TIN required. (Only select this reason if the domestic law of the relevant jurisdiction does not require collection of the TIN issued by such jurisdiction)

If the Applicant is tax resident in more than three countries / jurisdictions, please use a separate sheet.

In the case where the Applicant is a tax resident of another country other than Malaysia, please provide one of the following documents:

- (i) Copy of Passport; government issued identification
- (ii) Proof of residential address

DECLARATION AND SIGNATURE OF JOINT APPLICANT

Under the penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete.

I acknowledge that AII MAN may be obliged to comply with or choose to have regard to, observe or fulfil the laws, regulations, orders, guidelines, codes, market standards, good practices, requests, requirements, or expectations of or agreements with public, judicial, taxation, governmental, and other regulatory authorities or self-regulatory bodies in various countries / jurisdictions which include FATCA and CRS, to disclose the information to the tax authorities of the country / jurisdiction in which the account(s) is / are maintained and exchanged with tax authorities of another country / jurisdiction or countries / jurisdictions in which the person may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am the beneficial owner (or am authorised to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual who is the owner of an account held with AII MAN.

I hereby irrevocably and unconditionally warrant and represent to AII MAN that, AII MAN shall have the right to provide personal data and information provided to AII MAN by me and / or acquired by AII MAN from the public domain, as well as personal data that arises as a result of the provision of services to me, to any governmental authorities, regulatory bodies, and / or any other relevant person(s) in respect of the FATCA and CRS Reporting Requirements.

I hereby acknowledge and agree that such disclosures may involve the transfer of personal data outside of Malaysia and that such disclosures may include but is not limited to any information relating to, arising from, and / or in connection with the provision of the services which I have requested, and my investments with AII MAN.

I undertake to advise AII MAN within 30 days of any change in circumstances that causes the information contained herein to become incorrect or incomplete and to provide AII MAN with a suitably updated Self-Certification form within 30 days of such change in circumstances.

Signature of Joint Applicant

Name:

Date:

DEFINITIONS

ACCOUNT HOLDER

"Account Holder" means the person listed or identified as the holder of a Financial Account by the Financial Institution that maintains the account. A person, other than a Financial Institution, holding a Financial Account for the benefit or account of another person as agent, custodian, nominee, signatory, investment advisor, or intermediary, is not treated as holding the account for purposes of the Common Reporting Standard, and such other person is treated as holding the account. For example, in the case of a parent / child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

FINANCIAL ACCOUNT

"Financial Account" is an account maintained by a Financial Institution and includes: Depository Accounts; Custodial Account; Equity and debt interest in certain Investment entities; Cash value Insurance Contracts; and Annuity Contracts.

REPORTABLE ACCOUNT

"Reportable Account" means an account held by one or more Reportable Persons or by a Passive Non-Financial Entity with one or more controlling Persons that is a Reportable Person.

REPORTABLE PERSON

"Reportable Person" means a Reportable Jurisdiction Person other than: (i) a corporation the stock of which is regularly traded on one or more established securities markets; (ii) any corporation that is a Related Entity of a corporation described in clause (i); (iii) a Governmental Entity; (iv) an International Organisation; (v) a Central Bank; or (vi) a Financial Institution.

REPORTABLE JURISDICTION

"Reportable Jurisdiction" means a jurisdiction (i) with which an agreement is in place pursuant to which there is an obligation in place to provide the information specified in Section I of the CRS, and (ii) which is identified in a published list.

REPORTABLE JURISDICTION PERSON

"Reportable Jurisdiction Person" means an individual or Entity that is resident in a Reportable Jurisdiction under the tax laws of such jurisdiction, or an estate of a decedent that was a resident of a Reportable Jurisdiction. For this purpose, an Entity such as a partnership, limited liability partnership, or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated.

TIN (including "functional equivalent")

The term "TIN" means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the OECD automatic exchange of information portal. Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a "functional equivalent"). Examples of that type of number include, for individuals, a social security / insurance number, citizen / personal identification / service code / number, and resident registration number.

OECD

OECD is the "Organisation for Economic Co-operation and Development".

Note: The above definitions are obtained from the CRS for Automatic Exchange of Financial Account Information and / or the FATCA which may be subject to change from time to time. AII MAN and its affiliates do not assume responsibility to update the above definitions.