

In accordance with the Capital Markets and Services Act 2007, this form should not be circulated unless accompanied by the applicable Prospectus, Information Memorandum, and any supplemental and / or replacement thereto (if any). You should read and understand the contents of the relevant Prospectus, Information Memorandum, and any supplemental and / or replacement thereto (if any) before completing this form.

**IMPORTANT:** 1. Please complete in **CAPITAL LETTERS** and **BLACK INK** only, and tick  where applicable. Any alterations made must be countersigned.  
2. If an existing investor wishes to invest / switch into a new fund which does not match the current risk profile, the investor is required to perform the Suitability Assessment Test again to determine if the new fund chosen matches the risk profile of the investor.

Transaction Type     Investment     Repurchase     Switching

Account No.    

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**DETAILS OF APPLICANT**

Name of Corporation

Certificate of Corporation    

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      Contact No.    

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Contact Person

**INVESTMENT DETAILS**

1. Fund Name    Currency    

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Investment Amount      Sales Charge (%)      Distribution Instruction\*  

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 Reinvest     Credit to Bank Account\*\*

2. Fund Name    Currency    

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Investment Amount      Sales Charge (%)      Distribution Instruction\*  

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 Reinvest     Credit to Bank Account\*\*

3. Fund Name    Currency    

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Investment Amount      Sales Charge (%)      Distribution Instruction\*  

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 Reinvest     Credit to Bank Account\*\*

Note: \*This instruction is only applicable for new fund(s) that you are investing in. Kindly fill up the Request For Change Form if you would like to change the distribution instruction for your existing fund(s).  
\*\* Bank account registration is required, otherwise, the transaction will be processed as "Reinvest".

**REPURCHASE REQUEST**

1. Fund Name    Currency    

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 No. of Units     Amount     All Units  



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2. Fund Name    Currency    

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 No. of Units     Amount     All Units  



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3. Fund Name    Currency    

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 No. of Units     Amount     All Units  



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**PAYMENT INSTRUCTION**  
**For Corporation - MYR Class Fund Only**

Bank Account Holder Name	<input type="text"/>
Validation ID***	<input type="text"/>
Bank Account No.	<input type="text"/>
Bank Name	<input type="text"/>
Remittance Information (if applicable)	<input type="text"/>
Bank Branch	<input type="text"/>

Note: \*\*\*If Validation ID is blank, it will be auto default to NRIC / Passport No. / Others according to our record.

**BANK ACCOUNT DETAILS (FOR CREDITING OF DISTRIBUTION & REPURCHASE PROCEEDS) -**  
**Foreign Currency Class Fund Only**

Bank Account Holder Name	<input type="text"/>
Validation ID***	<input type="text"/>
Bank Account No.	<input type="text"/>
Bank Name	<input type="text"/>
Bank Swift Code / Bank Code	<input type="text"/>
Remittance Information (if applicable)	<input type="text"/>
Bank Account Currency	<input type="text"/>
<u>Intermediary Bank Details (if applicable)</u>	
Intermediary Bank Name	<input type="text"/>
Intermediary Bank Branch	<input type="text"/>
Intermediary Bank Swift Code / Bank Code	<input type="text"/>
Intermediary Bank Account No.	<input type="text"/>

**SWITCHING OF FUND**

No	FROM	TO
1	<p><b>Fund Name</b></p> <input type="text"/> <input type="text"/> <p><b>Currency</b> <input type="text"/></p> <p><input type="checkbox"/> Units to Switch <input type="checkbox"/> Amount to Switch <input type="checkbox"/> ALL Units</p> <input type="text"/> , <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<p><b>Fund Name</b></p> <input type="text"/> <input type="text"/> <p><b>Currency</b> <input type="text"/></p> <p><b>Sales Charge (%)</b> <input type="text"/> . <input type="text"/></p> <p><b>Distribution Instruction*</b> <input type="checkbox"/> Reinvest <input type="checkbox"/> Credit to Bank Account**</p>
2	<p><b>Fund Name</b></p> <input type="text"/> <input type="text"/> <p><b>Currency</b> <input type="text"/></p> <p><input type="checkbox"/> Units to Switch <input type="checkbox"/> Amount to Switch <input type="checkbox"/> ALL Units</p> <input type="text"/> , <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<p><b>Fund Name</b></p> <input type="text"/> <input type="text"/> <p><b>Currency</b> <input type="text"/></p> <p><b>Sales Charge (%)</b> <input type="text"/> . <input type="text"/></p> <p><b>Distribution Instruction*</b> <input type="checkbox"/> Reinvest <input type="checkbox"/> Credit to Bank Account**</p>

## DECLARATION AND SIGNATURE(S)

We hereby agree to be bound by the terms and conditions contained in the Terms and Conditions for Account Opening (as amended from time to time).

We acknowledge that we have received, read and understood the relevant Prospectus, Information Memorandum, and any supplemental and / or replacement thereto (if any) and agree to be bound by them for our initial and subsequent transactions with AIIIMAN Asset Management Berhad ("AIIIMAN").

We undertake to be bound by the provision of the documents constituting the fund(s) subscribed to as if we were a party thereto.

We acknowledge that we are aware of the fees and charges that will be incurred directly and indirectly when investing into the fund(s) and such fees and charges are exclusive (if applicable).

We acknowledge that we have received a copy of the Unit Trust Loan Financing Risk Disclosure Statement (on the following page) and understood its contents.

We hereby agree to indemnify AIIIMAN against all actions, suit, proceedings, claims, damages, and losses which may be suffered by AIIIMAN as a result of any inaccuracy of the declarations herein.

Signature of Authorised Signatory

Date:

Affix Seal or  
Company Stamp Here

Signature of Authorised Signatory

Date:

### IMPORTANT NOTE:

Please note that AIIIMAN and its distributors, including individual consultants and sales representatives, **are not allowed** to accept cash payment for the purchase of units of a fund. All investment payments should be made **via telegraphic transfer / cheque** to AIIIMAN Asset Management Sdn Bhd only.

You are encouraged to verify the registration status of your Unit Trust Consultant with Federation of Investment Managers Malaysia (FIMM). Please visit <https://www.fimm.com.my/search> to check whether the individuals you are dealing with is an Authorised Consultant of AIIIMAN.

## COMPULSORY FOR DISTRIBUTOR (AUTHORISED UTC)

Name (as per NRIC)

UTC Code

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Delete where not applicable.

## FOR OFFICE USE ONLY

This transaction has been confirmed

with \_\_\_\_\_ by \_\_\_\_\_

on \_\_\_\_\_ at \_\_\_\_\_ ext no \_\_\_\_\_

Processed By:

Name:

Date:

Campaign Code

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### Unit Trust Loan Financing Disclosure

Investing in a Unit Trust Fund with borrowed money is more risky than investing with your own savings.

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risk, which would include the following:

- i) The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
- ii) You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- iii) If unit price fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan.
- iv) Return of unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms & conditions before you decide to take a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

## TERMS AND CONDITIONS

### 1.0 Investment

- 1.1 AIIAMAN Asset Management Sdn Bhd (hereinafter referred to as "we", "our", "us" or "AIIAMAN") do not accept physical cash as payment for investments. All monies due and payable by you to us shall be made with clear funds, any cheques issued by you must be honoured when presented and shall be from your own bank account. We do not accept funds from third parties for your investments and may refund such sum that has been transferred to us to the payer and henceforth the application for investment may fail.
- 1.2 If any application made by you is rejected for whatever reason, whether in part or whole, any monies paid or remaining balance thereof will be returned (without interest or return) within 30 days from the date of such application and any costs or expenses incurred thereof shall be borne solely by you.
- 1.3 Without prejudice to the generality of the foregoing, all our employees and sales consultants are prohibited from receiving from any parties monies for investment (whether by way of cash or cheque or any other instrument) made out in favour of the employees and / or sales consultants, for their onwards transmission to us. In the event you do hand over such physical cash, or cheque or any other instrument made to the favour of the employee and / or sales consultant, such employee and / or sales consultant shall for the purposes of such transmission of monies to us be your agent and not ours and we shall not be liable for any loss whatsoever occasioned to you or any other person as a result of you handing over such monies to them. We are under no obligation to accept payments for investments via our employees and / or consultants or any third party and may in our sole discretion reject such payments.
- 1.4 In the absence of any instructions to the contrary or as allowed under the Deed of the relevant fund, you hereby authorise us to automatically re-invest any distributions of dividends.
- 1.5 Please take note that only a "Sophisticated Investor" may invest in a Wholesale Fund. To check your eligibility as a "Sophisticated Investor", you may refer to the Capital Markets and Services Act 2007.
- 1.6 You agree to apply your latest risk tolerance profile maintained with AHAM for all investment transaction applications and to notify AHAM Capital immediately if there is any change of information that may change your risk tolerance profile.
- 1.7 You agree that AHAM Capital shall not be responsible or liable to check, confirm and/or verify the authenticity of the signatures, nor the respective Authorised Signatory's authority to sign, regardless of whether it is signed electronically, or otherwise.

### 2.0 Repurchase

- 2.1 When you submit an application to redeem units, we will repurchase units from you upon receipt of a valid instruction, at the price (NAV) of the fund as determined in accordance with the terms of the Deed of the relevant fund.
- 2.2 Please take note that payment of redemption proceeds can only be made out in your favour, and any request to pay to a third party is strictly prohibited. We will pay to your bank account as advised by you, subject to the prior verification of the bank account. We may request for any form of validation for verification prior to making such payments to you and we will not be held responsible for any delay or loss incurred due to incorrect bank account number provided by you for payment of repurchase proceeds.
- 2.3 In the event your request for redemption results in your holding being less than the minimum balance of units required, we reserve the right to redeem all the units held by you.

### 3.0 Switching

- 3.1 You may switch investments from any one fund to another fund managed by the same investment manager (unless otherwise stated by the Deed for the relevant fund). Any distribution instruction earlier given for that fund switched out will apply to the additional units and remain unchanged. If the switching is made to a new fund / investment, the net amount switched must meet the minimum requirement of the new fund's initial investment amount.
- 3.2 In the event any request for switching results in your holding being less than the minimum balance of units required, we reserve the right to fully switch all the units held by you.
- 3.3 Any switching fee as disclosed in the relevant Prospectus, Information Memorandum, and any supplemental and / or replacement thereto (if any) shall be charged to and be borne by you.

### 4.0 Fees, Charges and Expenses

- 4.1 You shall be responsible for the payment of any charges, fees, costs, expenses, taxes (if any) and other liabilities properly payable or incurred by us and in holding or executing transaction in respect of any of the units.
- 4.2 We will not be liable to pay any interest to you for any monies we held for you for any reason whatsoever.

### 5.0 Rights of AIIAMAN

- 5.1 We reserve the right to accept or reject any instruction or application made by you in whole or in part without assigning any reason in respect thereof and without providing any compensation to you.
- 5.2 Notwithstanding anything contained herein, we shall be at the liberty to disregard or refuse to process any of the instructions given or applications submitted by you if the processing of such instructions or applications would be in contravention of any laws or regulatory requirements whether or not having the force of law and / or would expose us to any liability.
- 5.3 Save and except for our gross negligence or wilful default, you hereby agree to indemnify and keep indemnified us, our directors, officers, shareholders, and employees against any direct and / or indirect cost, actions, claims, expenses, fees, liabilities, penalties, fines, suits, losses and / or damages suffered and / or incurred by us arising out of and / or in connection with the instructions given herein, any payments made by you and any inaccuracies in the information and declarations provided by you.
- 5.4 In the event we become aware that you are no longer eligible to be a unit holder in any funds offered by us, we shall be deemed to have received a transaction request by you to exit you from the funds that you are ineligible to be a unit holder of.

### 6.0 Relationship

- 6.1 For investments in Shariah funds, the account is based on the contract of agency under the Shariah principle of Wakalah. We will act in our capacity as an agent ("Wakil") for and on your behalf ("Muwakkil") pursuant to our appointment under the Terms.

### 7.0 Investment into Unit Trusts Distributed by AIIAMAN as an Institutional Unit Trust Adviser ("IUTA") and Appointment of Nominee to Hold Unit Trust ("Units")

- 7.1 As an IUTA and for ease of operations, we require the services of a nominee ("Nominee") to effect your transactions and also to keep in custody Units for and on your behalf.
- 7.2 Where you invest in a unit trust fund distributed by us as an IUTA, you hereby agree to appoint us to hold and act for and on your behalf in relation to those Units subject to the Terms. All transactions with respect to the Units will be effected by the Nominee on your behalf with the relevant representative, agent, adviser or management company of the Units in accordance with the restrictions or limitations set by the respective UTMC, the relevant prospectus and deed of the respective funds and the laws, rules, regulations, guidelines and practices of the unit trusts industry.
- 7.3 You agree that where you invest in a unit trust fund distributed by us as an IUTA:-
  - the Units shall be held by the Nominee as the registered holder for and on your behalf;
  - the Nominee shall not recognise any trust or equity in respect of the Units or any part thereof; and
  - the Nominee shall be a bare custodian and not a trustee in all matters relating to this arrangement and / or the Units.
- 7.4 The Nominee shall have no duty or responsibility as regards attendance at meetings or voting in respect of any of the Units or as regards any subscription, conversion or other rights in respect thereof or as regards any merger, consolidation, reorganisation, receivership, bankruptcy or insolvency proceedings, compromise or arrangement in connection with the Units, nor shall the Nominee be under any duty to investigate or participate therein or take any affirmative action in connection therewith except in accordance with your written instructions and upon such conditions, indemnity and provision for expenses as the Nominee may require.